



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christopher KEITH

Filed: March 8, 2001

Art Unit: 2165

Examiner:

Serial No.: 09/802,025

Confirmation No.: 6553

Title: TRADING PROGRAM FOR INTERACTING
WITH MARKET PROGRAMS ON A PLATFORM

PATENT
0505-4003

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I hereby certify that the following attached paper(s) and/or fee

1. Information Disclosure Statement
2. PTO Form 1449
3. Copies of 37 references
4. Return receipt postcard

are being deposited with the United States Postal Service with sufficient postage as first class mail under 37 C.F.R. § 1.8 on the date indicated below in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Date: September 14, 2001

Brenda Pomerance
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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98. The items listed on Form PTO 1449, a copy of which is enclosed, may be pertinent to the above-referenced application and are made of record to assist the Patent Office in its examination of this application. The Examiner is requested to fully consider the items and independently ascertain their teaching.

No fee is due since this Information Disclosure Statement is being filed

☒ before the mailing date of a first Office Action on the merits.

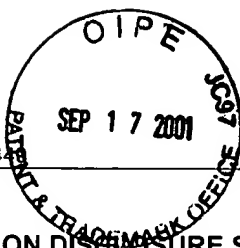
Respectfully submitted,

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Brenda Pomerance
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INFORMATION DISCLOSURE STATEMENT

Sheet 1 of 2

Application No.	09/802,025
Filing Date	March 8, 2001
Inventor	Christopher Keith
Group Art Unit	2165
Examiner	TBA
Atty. Docket No.	0505-4003

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee	Publication Date MM-DD-YYYY	Relevant text or Figs.
		Number	Kind Code			
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		Office	Number	Kind Code				
	BA	WO	00/63814	A1	Lava Trading	10-26-2000		
	BB	WO	01/02930	A2	Globenet Capital Corporation	01-11-2001		

Examiner
SignatureDate
Considered

INFORMATION DISCLOSURE STATEMENT	Application No.	09/802,025
	Filing Date	March 8, 2001
	Inventor	Christopher Keith
	Group Art Unit	2165
	Examiner	TBA
Sheet 2 of 2	Atty. Docket No.	0505-4003

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
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Examiner Signature		Date Considered	
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